

LIST OF MARGINABLE SECURITIES WITH EFFECT FROM 2 OCTOBER 2017 (HK MARKET)

Security Name (Sorted by Alphabetical Order)	Group	Valuation Ratio	Price Cap	Marginable Value Cap Per Client
1 AAC Technologies Holdings Inc	C	50%	-	-
2 Agile Property Holdings Ltd	C	50%	-	-
3 Agricultural Bank of China	A	90%	-	-
4 AIA Group Ltd	A	90%	-	-
5 Air China Ltd-H	C	50%	-	-
6 Aluminum Corp of China Ltd-H	C	50%	-	-
7 Angang Steel Co Ltd-H	C	50%	-	-
8 Anhui Conch Cement Co Ltd - H Shares	C	50%	-	-
9 Anhui Expressway Co Ltd-H	C	50%	-	-
10 APT Satellite Holdings Limited	C	50%	-	-
11 ASM Pacific Technology Ltd	B	70%	-	-
12 AviChina Industry & Technology Co Ltd-H	C	50%	-	-
13 Bank of China Ltd-H	A	90%	-	-
14 Bank of Chongqing Co Ltd-H	C	50%	-	-
15 Bank of Communications Co Ltd-H	A	90%	-	-
16 Bank Of East Asia Ltd	A	90%	-	-
17 Beijing Capital International Airport Co Ltd-H	B	70%	-	-
18 Beijing Enterprises Holdings Ltd	B	70%	-	-
19 Belle International Holdings Ltd	B	70%	-	-
20 BOC Hong Kong (Holdings) Ltd	A	90%	-	-
21 Brilliance China Automotive Holdings Ltd	C	50%	-	-
22 Byd Co Ltd-H	C	50%	-	-
23 Cafe de Coral Holdings Ltd	C	50%	-	-
24 CAR Inc	C	50%	-	-
25 Cathay Pacific Airways Ltd	A	90%	-	-
26 Central China Securities Co Ltd-H	C	50%	-	-
27 CGN Power Co Ltd-H	B	70%	-	-
28 Cheung Kong Infrastructure Holdings Ltd	B	70%	-	-
29 Cheung Kong Property Holdings Ltd	A	90%	-	-
30 China Agri-Industries Holdings Ltd	C	50%	-	-
31 China BlueChemical Ltd - H	C	50%	-	-
32 China Cinda Asset Management Co Ltd	C	50%	-	-
33 China Citic Bank-H	B	70%	-	-
34 China Coal Energy Co-H	C	50%	-	-
35 China Communications Construction Co Ltd-H	B	70%	-	-
36 China Communications Services-H	C	50%	-	-
37 China Construction Bank Corp-H	A	90%	-	-
38 China COSCO Holdings Co Ltd-H	B	70%	-	-
39 China Eastern Airlines Corp Ltd - H Shares	C	50%	-	-
40 China Everbright Bank Company Limited-H	B	70%	-	-
41 China Everbright International Ltd	C	50%	-	-
42 China Everbright Ltd	C	50%	-	-
43 China Foods Ltd	B	70%	-	-
44 China Galaxy Securities Co Ltd	C	50%	-	-
45 China International Capital Corporation Limited	C	50%	-	-
46 China International Marine Containers Grp Co Ltd-H	C	50%	-	-
47 China Life Insurance Co Ltd-H	A	90%	-	-

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48 China Longyuan Power Group Corp	B	70%	-	-
49 China Medical System Holdings Ltd	B	70%	-	-
50 China Mengniu Dairy Co Ltd	B	70%	-	-
51 China Merchants Bank Co Ltd-H	B	70%	-	-
52 China Merchants Holdings (International) Co Ltd	A	90%	-	-
53 China Merchants Securities Co Ltd-H	C	50%	-	-
54 China Minsheng Banking Corp Ltd	B	70%	-	-
55 China Mobile Ltd	A	90%	-	-
56 China National Building Materials-H	B	70%	-	-
57 China Oilfield Services Ltd-H	C	50%	-	-
58 China Overseas Land & Investment Ltd	A	90%	-	-
59 China Pacific Insurance Group Co Ltd-H	B	70%	-	-
60 China Petroleum & Chemical Corp-H Shares (Sinopec)	A	90%	-	-
61 China Power International Development Ltd	C	50%	-	-
62 China Railway Group Ltd-H	B	70%	-	-
63 China Railways Construction Corp-H	B	70%	-	-
64 China Reinsurance (Group) Corporation - H	C	50%	-	-
65 China Resources Enterprise Ltd	B	70%	-	-
66 China Resources Land Ltd	B	70%	-	-
67 China Resources Power Holdings Co Ltd	B	70%	-	-
68 China Shenhua Energy Co Ltd-H	A	90%	-	-
69 China Shipping Container Lines Co Ltd-H	C	50%	-	-
70 China Shipping Development Co Ltd-H	C	50%	-	-
71 China Southern Airlines Co Ltd - H Shares	C	50%	-	-
72 China State Construction Intl Hldgs	C	50%	-	-
73 China Taiping Insurance Holdings Co Ltd	C	50%	-	-
74 China Telecom Corp Ltd-H	B	70%	-	-
75 China Travel International Investment HK Ltd	C	50%	-	-
76 China Unicom Ltd	A	90%	-	-
77 China Vanke Co Ltd-H	B	70%	-	-
78 Chinese Estates Holdings Ltd	C	50%	-	-
79 Chong Hing Bank Ltd	C	50%	-	-
80 Chongqing Rural Commercial Bank Co Ltd-H	C	50%	-	-
81 Chow Tai Fook Jewellery Company Limited	B	70%	-	-
82 CIMC Enric Holdings Ltd	C	50%	-	-
83 CITIC Limited	A	90%	-	-
84 CITIC Securities Co Ltd-H	B	70%	-	-
85 CK Hutchison Holdings Limited	A	90%	-	-
86 CLP Holdings Ltd	A	90%	-	-
87 CNOOC Ltd	A	90%	-	-
88 COSCO Pacific Ltd	B	70%	-	-
89 Country Garden Holdings Co Ltd	C	50%	-	-
90 CRRC Corp Ltd	C	50%	-	-
91 CSC Financial Co Ltd	B	60%	-	-
92 CSPC Pharmaceutical Group Ltd	C	50%	-	-
93 Dah Chong Hong Holdings Ltd	C	50%	-	-
94 Dah Sing Banking Group Ltd	C	50%	-	-

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95 Dah Sing Financial Holdings Ltd	B	70%	-	-
96 Datang International Power Generation Co Ltd	C	50%	-	-
97 DFZQ-H	C	50%	-	-
98 Digital China Holdings Ltd	C	50%	-	-
99 Dongfang Electric Co Ltd-H	C	50%	-	-
100 Dongfeng Motor Group Co Ltd - H	C	50%	-	-
101 Everbright Securities Company Limited-H	C	50%	-	-
102 Far East Consortium International Ltd	C	50%	-	-
103 Far East Horizon Ltd	C	50%	-	-
104 FIH Mobile Ltd	B	70%	-	-
105 First Pacific Co Ltd	C	50%	-	-
106 Fosun International	C	50%	-	-
107 Galaxy Entertainment Group Ltd	A	90%	-	-
108 Geely Automobile Holdings Ltd	B	70%	-	-
109 GF Securities Co Ltd-H	B	70%	-	-
110 Giordano International Ltd	C	50%	-	-
111 Global Brands Group Holding Ltd	C	50%	-	-
112 Great Eagle Holdings Ltd	B	70%	-	-
113 Great Wall Motor Co Ltd-H	C	50%	-	-
114 Guangdong Investment Ltd	C	50%	-	-
115 Guangshen Railway Co Ltd-H Shares	B	70%	-	-
116 Guangzhou Automobile Group Co Ltd	C	50%	-	-
117 Guoco Group Ltd	C	50%	-	-
118 Haier Electronics Group Co Ltd	B	70%	-	-
119 Haitong International Securities Group Ltd	C	40%	-	-
120 Haitong Securities Co Ltd-H	B	70%	-	-
121 Hang Lung Group Ltd	B	70%	-	-
122 Hang Lung Properties Ltd	A	90%	-	-
123 Hang Seng Bank Ltd	A	90%	-	-
124 Hang Seng H-Share Index ETF	B	70%	-	-
125 Harbin Electric Co Ltd-H	C	50%	-	-
126 Henderson Land Development Co Ltd	A	90%	-	-
127 Hengan International Group Co Ltd	B	70%	-	-
128 HK Electric Investments and HK Electric Invest-SS	B	70%	-	-
129 HKR International Ltd	C	50%	-	-
130 HKT Trust and HKT Limited	C	50%	-	-
131 Hong Kong & China Gas Co Ltd	A	90%	-	-
132 Hong Kong Aircraft Engineering Co Ltd	C	50%	-	-
133 Hong Kong Exchanges & Clearing Ltd	A	90%	-	-
134 Hongkong and Shanghai Hotels Ltd	C	50%	-	-
135 Hopewell Highway Infrastructure Ltd	B	70%	-	-
136 Hopewell Holdings Ltd	B	70%	-	-
137 HSBC Holdings Plc	A	90%	-	-
138 Huadian Fuxin Energy Corporation Limited-H	C	50%	-	-
139 Huadian Power International Corp Ltd-H	C	50%	-	-
140 Huaneng Power International Inc-H Shares	C	50%	-	-
141 Huaneng Renewables Corp-H	C	50%	-	-

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142 Huatai Securities Co Ltd-H	B	70%	-	-
143 Huishang Bank Corporation Limited-H	C	50%	-	-
144 Hutchison Telecommunications Hong Kong Holdings	B	70%	-	-
145 Hysan Development Co Ltd	B	70%	-	-
146 Industrial & Commercial Bank of China-H	A	90%	-	-
147 Jiangsu Expressway Co Ltd - H Shs	B	70%	-	-
148 Jiangxi Copper Co Ltd - H Shs	C	50%	-	-
149 Johnson Electric Holdings Ltd	C	50%	-	-
150 K Wah International Holdings Ltd	C	50%	-	-
151 Kerry Properties Ltd	B	70%	-	-
152 Kunlun Energy Co Ltd	A	90%	-	-
153 L Occitane Ltd	C	50%	-	-
154 Legend Holdings Corporation-H	C	50%	-	-
155 Lenovo Group Ltd	A	90%	-	-
156 Li & Fung Ltd	B	70%	-	-
157 Lianhua Supermarket Holdings Co Ltd	C	50%	-	-
158 Link REIT	B	70%	-	-
159 Maanshan Iron & Steel Co Ltd - H Shs	C	50%	-	-
160 Macau Legend Development Limited	B	70%	-	-
161 Manulife Financial Corp	B	70%	-	-
162 Metallurgical Corp Of China Ltd-H	C	50%	-	-
163 MGM China Holdings Ltd	B	70%	-	-
164 MTR Corp Ltd	A	90%	-	-
165 New China Life Insurance Company Limited-H	C	50%	-	-
166 New World Development Co Ltd	A	90%	-	-
167 NWS Holdings Ltd	C	50%	-	-
168 Orient Overseas (International) Ltd	B	70%	-	-
169 PCCW Ltd	B	70%	-	-
170 Petrochina Co Ltd	A	90%	-	-
171 PICC Property and Casualty Company Limited-H	B	70%	-	-
172 Ping An Insurance (Group) Co of China Ltd-H	A	90%	-	-
173 Poly Property Group Co Ltd	C	50%	-	-
174 Postal Savings Bank of China Co Ltd-H	B	70%	-	-
175 Power Assets Holdings Ltd	A	90%	-	-
176 Prada SpA	C	50%	-	-
177 Qingling Motors Co Ltd-H Shs	C	50%	-	-
178 Sa Sa International Holdings Ltd	C	50%	-	-
179 Sands China Ltd	A	90%	-	-
180 Semiconductor Manufacturing International Corp	C	50%	-	-
181 Shanghai Electric Group Corp - H	C	50%	-	-
182 Shanghai Industrial Holdings Ltd	C	50%	-	-
183 Shanghai Pharmaceuticals Holding Co Ltd-H	C	50%	-	-
184 Shangri-La Asia Ltd	B	70%	-	-
185 Shenzhen Expressway Co Ltd-H Shares	B	70%	-	-
186 Shenzhen International Holdings Ltd	C	50%	-	-
187 Shenzhen Investment Ltd	C	50%	-	-
188 Shun Tak Holdings Ltd	C	50%	-	-

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189 Sino Land Co Ltd	A	90%	-	-
190 Sinofer Holdings Ltd	C	50%	-	-
191 Sino-Ocean Land Holdings Ltd	C	50%	-	-
192 SINOPEC Engineering (Group) Co Ltd-H	C	50%	-	-
193 Sinopec Oilfield Service Corporation- H share	C	50%	-	-
194 Sinopec Shanghai Petrochemical Co Ltd	C	50%	-	-
195 Sinopharm Group Co	C	50%	-	-
196 Sinotrans Ltd	C	50%	-	-
197 Sinotrans Shipping Ltd	C	50%	-	-
198 SJM Holdings Ltd	C	50%	-	-
199 Skyworth Digital Holdings Ltd	C	50%	-	-
200 SmarTone Telecommunications Holdings Ltd	C	50%	-	-
201 SOCAM Development Ltd	C	50%	-	-
202 SPDR Gold Trust	C	50%	-	-
203 Standard Chartered Plc	B	70%	-	-
204 Sun Art Retail Group Ltd	C	50%	-	-
205 Sun Hung Kai Properties Ltd	A	90%	-	-
206 Sunny Optical Technology (Group) Co Ltd	C	50%	-	-
207 Swire Pacific Ltd-A Shares	A	90%	-	-
208 Swire Pacific Ltd-B Shares	B	70%	-	-
209 Swire Properties Limited	B	70%	-	-
210 Tai Cheung Holdings Ltd	C	50%	-	-
211 Television Broadcasts Ltd	B	70%	-	-
212 Tencent Holdings Ltd	A	90%	-	-
213 Texwinca Holdings Ltd	C	50%	-	-
214 The People's Insurance Company Grp of China Ltd-H	B	70%	-	-
215 Tingyi (Cayman Islands) Holdings Corp	B	70%	-	-
216 Tracker Fund of Hong Kong	A	90%	-	-
217 Transport International Holdings Ltd	C	50%	-	-
218 TravelSky Technology Ltd	C	50%	-	-
219 Tsingtao Brewery Co Ltd-H	C	50%	-	-
220 VTech Holdings Ltd	C	50%	-	-
221 Want Want Hldgs Ltd	B	70%	-	-
222 Weichai Power Co Ltd	C	50%	-	-
223 Wharf (Holdings) Ltd	A	90%	-	-
224 Wheelock & Co Ltd	B	70%	-	-
225 Yanzhou Coal Mining Co - H Shares	B	70%	-	-
226 Yue Yuen Industrial (Holdings) Ltd	B	70%	-	-
227 Yuexiu Property Company Ltd	C	50%	-	-
228 Zhejiang Expressway Co Ltd - H Shares	B	70%	-	-
229 Zhuzhou CSR Times Electric-H	C	50%	-	-
230 ZTE Corp	C	50%	-	-

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